

## Advanced Diploma in Financial Planning

Programme Code: FN005A

Application Code: 2045-FN005A



2867 8468

cfp@hkuspace.hku.hk

This programme prepares students for the CFP® Certification Examination (Foundation Level) and CFP® Certification Examination (Level 1 and 2) organized by the Institute of Financial Planners of Hong Kong. In addition, the programme also enables students to enter Year 3 of Edinburgh Napier University's Bachelor of Arts (with Honours) in Financial Services degree programme. Besides, degree holders can further their studies in finance postgraduate programmes (e.g., Postgraduate Diploma in Investment Management, Postgraduate Diploma in Investment Management and Financial Intelligence, Postgraduate Diploma in Applied Financial Engineering, Postgraduate Diploma in Finance and Data Analytics).

- R** Applicants should
- have gained in the HKCEE Grade E in 3 subjects and Level 2 in Chinese Language and English Language; OR
  - have gained in the HKDSE Level 2 in 5 subjects;
  - be aged 21 years of age or above and have 3 years of work experience.
  - IFPHK candidacy is a pre-requisite for the Advanced Diploma in Financial Planning Programme

**\$** Business modules HK\$3,800- HK\$5,800

AFP module: HK\$15,800

CFP level 1 &amp; 2 modules: HK\$5,350 each module

Application Fee: HK\$150

**D** 1 year to 2 years

English

**Q** Level 4 (Reg. No.: 14/003649/L4) Validity Period: 01 Jan 2015 - on-going

More details



## 行政人員文憑《保險人力資源及財務管理》

課程編號: EP124A

2867 8475

executive@hkuspace.hk.hk

本課程旨在教授學員保險行業的專業知識，並培養及發展業界領袖所需的專業技能。課程採用實例教學方法，向學員灌輸從事保險業應有的人事管理和財務知識。

**\$** 每科 HK\$3,600  
報名費用: HK\$150

**D** 4個月至5個月

## Executive Certificate in Marine Insurance

Programme Code: EP142A

Application Code: 1970-EP142A

2867 8322

ecmi@hkuspace.hku.hk

The programme will primarily appeal to financial services executives who wish to equip themselves with latest knowledge in marine insurance for their professional advancement. The secondary target market includes business executives or new graduates who aim to take up opportunities in insurance sector with a focus of marine insurance.

**\$** Application Fee: HK\$150

**D** 3 months

Certificate for Module  
(Insurance Contract and Compliance)

Programme Code: AC107A

2867 8474 / 2867 8314

pgdipmi@hkuspace.hku.hk

The programme aims to equip students with professional knowledge and skills to manage insurance contracts and assess possible risks and threats under an increasingly stringent regulatory environment in the insurance industry.

**\$** HK\$8,000 per programme  
Application Fee: HK\$150

**D** 33 hours

**Q** Level 6 (Reg. No.: 21/001079/L6) Validity Period: 01 Oct 2021 - on-going

Chartered Financial Analyst Level 1  
Education Programme

Programme Code: FINA9017

Application Code: 2040-1300NW

2867 8468

cfa@hkuspace.hku.hk

HKU SPACE is pleased to offer the CFA® Level 1 Education Programme to help candidates prepare for the forthcoming examination.

**\$** HK\$12,300 (including comprehensive set of notes and study materials)

**D** 2 months to 4 months

Chartered Financial Analyst Level 2  
Education Programme

Programme Code: FINA9018

Application Code: 2040-1302NW

2867 8468

cfa@hkuspace.hku.hk

HKU SPACE is pleased to offer the CFA® Level 2 Education Programme to help candidates preparing for the forthcoming examinations.

**\$** HK\$13,500 (including comprehensive set of notes and study materials)

**D** 2 months

Chartered Financial Analyst Level 3  
Education Programme

Programme Code: FINA9292

Application Code: 2050-2586NW

2867 8468

cfa@hkuspace.hku.hk

The programme provides a solid foundation for students to prepare for CFA level 3 examination offered by CFA Institute.

**\$** HK\$13,800

**D** 4 months

Chartered Financial Analyst Refresher  
Course - Level 1

Programme Code: FINA9277

Application Code: 2040-1304NW

2867 8468

cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 1 exam questions. 10小時的短期課程，專注於CFA 1級考試問題解答。

**\$** HK\$3,000

**D** 10 hours

Chartered Financial Analyst Refresher  
Course - Level 2

Programme Code: FINA9278

Application Code: 2040-1180NW

2867 8468

cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 2 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

**R** There are no specific entry requirements. However, students should check the requirements of the CFA Institute to ensure that they qualify to sit for the CFA examinations.

**\$** HK\$3,000

**D** 10 hours

English

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，本課程屬獲豁免課程。

NCR2

It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

NCR3

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育(規管)條例》，這些課程屬獲豁免課程。 It is a matter of discretion for individual employers to recognize any qualification to which these courses may lead. 個別僱主可酌情決定是否承認這些課程可令學員獲取的任何資格。 The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育(規管)條例》辦理豁免註冊手續。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。

# Financial Services and Insurance

## 金融服務和保險

### Chartered Financial Analyst Refresher Course - Level 3

Programme Code: FINA9324



Application Code: 2040-1308NW

☎ 2867 8468

✉ cfa@hkuspace.hku.hk

This is a 10-hour short course to focus on CFA level 3 exam questions. Students are assumed to have the knowledge required for the CFA syllabus and this course will help them go through different type of questions step-by-step and the relevant tricks required to tackle these questions.

💰 HK\$3,000

⏰ 10 hours

### Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Award in General Insurance (Hong Kong)

Programme Code: FINA9343



☎ 2867 8475

✉ cii@hkuspace.hku.hk

This exam preparatory programme does not include CII examination. For candidates who are interested to enrol to CII examination of Award in General Insurance (Hong Kong), please contact the Chartered Insurance Institute at +852 2520 0098 or hkenquiries@cii.co.uk

The examination enrolment fee is £92 for CII members and £100 (a discounted offer) for non-CII members. The fee includes all admission fee and e-studytex. For non-CII members, candidates will need to complete online membership declaration form and send to hkenquiries@cii.co.uk

💰 HK\$1,200

⏰ 7 weeks to 10 weeks

### Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Insurance Claims Handling (non-UK)

Programme Code: FINA9345



☎ 2867 8475

✉ cii@hkuspace.hku.hk

Unit WCE provides you with knowledge and understanding of the basic principles of the claims handling process which can be applied in your region.

This unit covers the claims handling process including notification, assessment, settlement and associated financial factors. It looks at the knowledge and skills you will need to handle claims in a professional, consistent and accurate manner. Claims handling is of such importance, it also considers claims handling within the wider context of the systems, process and functions that surround it.

💰 HK\$1,500 (exclude CII exam fee)

⏰ 5 weeks to 7 weeks

### Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Insurance Underwriting (non-UK)

Programme Code: FINA9344



☎ 2867 8475

✉ cii@hkuspace.hku.hk

Remarks: This exam preparatory programme does not include CII examination. For candidates who are interested to enrol to CII examination of Insurance Underwriting (non-UK), please contact the Chartered Insurance Institute at +852 2520 0098 or hkenquiries@cii.co.uk.

The examination enrolment fee is £92 for CII members and £100 (a discounted offer)

for non-CII members. The fee includes all admission fee and e-studytex. For non-CII members, candidates will need to complete online membership declaration form and send to hkenquiries@cii.co.uk.

💰 HK\$1,500

⏰ 7 weeks to 10 weeks

### Chartered Insurance Institute (CII) Professional Examination Preparatory Programme - Introduction to Risk Management

Programme Code: FINA9346



☎ 2867 8475

✉ cii@hkuspace.hku.hk

The I11 unit gives a comprehensive introduction to the world of risk management by outlining the important issues surrounding risk management and the many factors that might increase an organisations exposure to risk.

💰 HK\$1,800

⏰ 6 weeks to 10 weeks

### Designing a Detailed Retirement Plan

課程編號: FINA9263



報名代碼: 2050-2392NW

☎ 2520 4612

✉ finsc@hkuspace.hku.hk

This workshop focuses on helping individual design a solid financial plan to fund his/her retirement. You will be working on your own retirement planning, remember to bring your own calculator to the workshop.

本工作坊集中於教授如何為個人設計一份針對退休生活的財務策劃。你將會為自己度身訂製一個專屬於你自己的退休計劃，請務必攜帶計數機。

💰 HK\$2,200

⏰ 1 日

🗣 粵語

### 保險實務文憑

課程編號: AC101A

報名代碼: 1975-AC101A



☎ 2867 8487 / 2867 8314

✉ dipip@hkuspace.hku.hk

本課程旨在教授學員保險行業的實務知識，並發展業界所需的技能。課程採用實用教學方法，向學員教授保險原理、產品和銷售的相關知識，內容亦能幫助業界人士備考 IIQE 的考試。本課程最快可於半年內完成。

💰 每科學費 HK\$3,000，四科合共 HK\$12,000

報名費用: HK\$150

⏰ 216 小時

📄 資歷架構級別: 3 資歷名冊登記號碼: 20/000076/L3

資歷名冊登記有效期: 2020 年 3 月 1 日 - 持續有效

### 個人財務策劃工作坊系列 - 整合財務安排及保險策劃

課程編號: FINA9335



報名代碼: 2035-1714NW



☎ 2520 4612

✉ finsc@hkuspace.hku.hk

本課程包括三個不同主題的工作坊，每一個工作坊為 6 小時，三個工作坊提供總學習時數為 18 小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

💰 HK\$1,800

⏰ 2 個星期

🗣 粵語; 教材以英語為主

See legend on page 026 圖像說明於第 026 頁

📄 Minimum Entry Requirements 基本入學要求 (P.015)

💰 Fee 學費

⏰ Duration 修業期

🗣 Medium of Instruction 教學語言

📄 Qualifications Framework 資歷架構

📄 Exemption 豁免

📄 Short Course 短期課程

For more and latest programme information, please visit our website  
有關最新課程資訊及詳情，請瀏覽學院網站 [hkuspace.hku.hk](http://hkuspace.hku.hk)

## 個人財務策劃工作坊系列 - 財務策劃及信托安排

課程編號：FINA9334



報名代碼：2035-1708NWNW



☎ 2520 4612

✉ finsc@hkuspace.hku.hk

本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

💰 HK\$1,800

📅 2個星期

🇬🇧 以粵語為主；教材以英語為主

## 個人財務策劃工作坊系列 - 財務策劃及遺產管理

課程編號：FINA9333



報名代碼：2035-1704NW



☎ 2520 4612

✉ finsc@hkuspace.hku.hk

本課程包括三個不同主題的工作坊，每一個工作坊為6小時，三個工作坊提供總學習時數為18小時。旨在向學員介紹有關財務策劃的安排及其中所涉及的法律和條例。在課程中，講者將分享有關財務策劃中常見的法律知識及實務案例，以幫學員實現更好的財務計劃。

💰 HK\$1,800

📅 2個星期

🇬🇧 以粵語為主；教材以英語為主

## FinTech and Financial Intelligence

### 金融科技

## Postgraduate Diploma in Finance and Data Analytics

Programme Code: FN050A

Application Code: 2045-FN050A



☎ 2867 8476

✉ finpgd@hkuspace.hku.hk

The programme covers a wide range of knowledge in Statistical Analysis, Corporate Financial Management, Risk Analysis and Asset Allocation, as well as Investment and Portfolio Management, Financial Modelling and Analytics Software. Students will learn how to apply the knowledge and techniques used by market professionals and refresh their investment knowledge using big data.

**R** Applicants shall hold a bachelor's degree in quantitative areas (e.g., mathematics, engineering, statistics, computer science, economics, finance) awarded by a recognised institution. If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants with other qualifications and substantial senior level work experience will be considered on individual merit.

Remark: Applicants with relevant academic and/or professional qualifications may approach the Programme Team for application of exemption.

💰 HK\$9,500 per module

Application Fee: HK\$150

📅 1 year to 2 years

🇬🇧 English

📄 Level 6 (Reg. No.: 20/000411/L6) Validity Period: 01 Jul 2020 - on-going

## Postgraduate Diploma in FinTech and Legal Regulations

Programme Code: FN078A

Application Code: 2035-FN078A

☎ 2867 8331

✉ finpgd@hkuspace.hku.hk

This programme aims to impart inter-disciplinary knowledge of FinTech and legal regulations to students who are interested in the latest applications of FinTech and related legal issues. It examines contemporary elements and issues of FinTech and applies computational tools to finance and investment through practical workshops in the computer laboratory. The programme illustrates the applications of AI, RegTech, blockchain and robo advisory as well as explains the regulatory framework and statutory compliance.

**R** Applicants shall hold a bachelor's degree in quantitative or computational areas (e.g., economics, finance, mathematics, statistics, science, computer science, IT, engineering) awarded by a recognized institution or equivalent.

If the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English, applicants shall provide evidence of English proficiency, such as:

- an overall band of 6.0 or above with no subtests lower than 5.5 in the IELTS; or
- a score of 550 or above in the paper-based TOEFL, or a score of 213 or above in the computer-based TOEFL, or a score of 80 or above in the internet-based TOEFL; or
- HKALE Use of English at Grade E or above; or
- HKDSE Examination English Language at Level 3 or above; or
- equivalent qualifications.

Applicants who hold the Advanced Diploma for Legal Executives (Graduate Level) awarded within the HKU system through HKU SPACE would be eligible for entry.

Applicants without undergraduate qualifications, but have substantial work experience will be considered on individual merit.

Applicants who do not have a background in quantitative or computational areas are required to take the Certificate for Module (Quantitative Methods in Finance) as the bridging course. They must complete and pass the module before the commencement of the programme.

💰 HK\$66,000 per programme, HK\$11,000 per module

Application Fee: HK\$150

📅 1 year to 2 years

🇬🇧 English

NCR1

This is an exempted course under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，本課程屬獲豁免課程。

NCR2

These are exempted courses under the Non-Local Higher and Professional Education (Regulation) Ordinance. 根據《非本地高等及專業教育（規管）條例》，這些課程屬獲豁免課程。

NCR3

The course operator is applying for exemption under the Non-local Higher and Professional Education (Regulation) Ordinance. 課程主辦人正根據《非本地高等及專業教育（規管）條例》辦理豁免註冊手續。 It is a matter of discretion for individual employers to recognize any qualification to which this course may lead. 個別僱主可酌情決定是否承認本課程可令學員獲取的任何資格。